

What should an Investor do?



An investor can use this as a “Check List” before beginning to trade on Stock

- Open a Trading Account with a Stock Broker
- Open a Demat Account with a Depository Participant
- Fill in and Sign the KYC Document, with utmost Care
- Read the Risk Document carefully
- Complete any / all other Account Opening formalities

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What should an Investor do?



An investor should ask, seek clarifications and understand the following from the Stock Broker and Depository Participant

- What are the Charges applicable to him / her?
- How are the Charges calculated?
- What are the Risks associated with the Trading?
- What are the Margin Amounts payable and when are they payable?
- Read and be clear on the terms of the POA, if entered into

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What should an Investor do?



An investor should seek information before investing

- Are there any Research Reports originating from the Share Broker?
- What is the Success Rate of Research Recommendation?
- Is there any privilege, such as, Enhanced Exposure Limit offered by the Share Broker?
- Is there any funding facilities for Share Trading offered by the Share Broker?

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What should an Investor do?



Using all the above available tools and information,

- Pick the Stocks
- Decide the Quantity
- To Match his / her Risk and Affordability
- For the Period, he / she can sustain
- Issue the Cheque to the Stock Broker
- Ask the Dealer to punch the Order
- Verify the Contract Note and the Statement of Account, if everything is proper

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Thank you

